

CONTRACT EXTENSION/AMENDMENT – Depository Services

The Northern Trust Company

Rebeca H. Pasquesi
Senior Vice President
50 South LaSalle Street
Chicago, IL 60603

Contract Extension: 01/01/2012-12/31/2012

Contract Amendment: Cost structure revisions listed below.

<u>Description</u>	<u>TMA Code</u>	<u>Unit</u>	<u>Proposed Unit Price</u>
Depository Services			
Uncollected Overdraft Surcharge	00-0013	Occurrence	VARIES(1)
Overdraft Interest Fees	00-0211	Occurrence	\$100 min (2) previously \$150
Charge for Overdraft	00-0212	Occurrence	\$150.0000
FDIC	00-0230	Occurrence	Pass Through
Federal Reserve Charges	00-0234		Pass Through
FICO	00-0234		Pass Through
Earnings Credit Adjustment	00-0241		
DDA Maintenance	01-0000	Monthly	\$18.0000
Debits Posted	01-0100	Item	\$0.1500
Credits Posted	01-0101	Item	\$0.1500
ZBA Debit Posting	01-0110	Item	\$0.3000
ZBA Credit Posting	01-0111	Item	\$0.3000
DDA Statement-Paper	01-0310	Statement	\$25.0000 **increased from \$0.00
DDA Statement	01-0310		
Audit Confirmation	01-0630	Item	\$35.0000
Check Photocopy	10-1030	Item	\$15.0000
Checks Paid	15-0100	Item	\$0.0600
Miscellaneous	99-0000		
Wire Transfer/Internal Transfer			
Fund Transfer System Maintenance/Module	35-0000	Monthly	\$14.0000
Outgoing Fedwire Transfer-Auto Repetitive	35-0100	Item	\$3.5000
Outgoing Fedwire Transfer-Auto Semi-Repetitive	35-0101	Item	\$3.5000
Outgoing Fedwire Transfer-Auto Freeform	35-0104	Item	\$5.0000
Outgoing Fedwire Transfer-Manual Repetitive	35-0200	Item	\$15.0000
Outgoing Fedwire Transfer-Manual Semi-Repetitive	35-0201	Item	\$15.0000
Outgoing Fedwire Transfer-Manual Freeform	35-0202	Item	\$27.0000
Outgoing Book Transfer	35-0120	Item	\$1.5000
Incoming Fedwire Transfer	35-0300	Item	\$3.0000
Incoming Book Transfer	35-0320	Item	\$1.5000
Funds Transfer Advice Manual Debit/Credit	35-0412	Item	\$0.7500

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<u>Description</u>	<u>TMA Code</u>	<u>Unit</u>	<u>Proposed Unit Price</u>
Information Reporting			
822 Acct Analysis/bank transmission	01-0402	Monthly	FREE
Paper Disbursement Maintenance Controlled	15-0000	Monthly	\$20.0000
Positive Pay Maintenance	15-0030	Monthly	FREE
Positive Pay Per Item	15-0120	Item	FREE
Positive Pay Exceptions	15-0310	Item	\$4.5000
Stop Payment On Line	15-0410	Item	\$10.0000
Controlled Disbursement Daily Notification	15-0700	Item	\$0.0200
CD-ROM Per Image	13-1351	Item	\$0.0200
CD-ROM Maintenance	15-1353	Monthly	\$15.0000
CD-ROM Per Disk	15-1353	Item	\$15.0000
Check Image	15-1355	Item	\$1.5000
Paper Disbursement Recon Maint-Partial	20-0020	Item	\$18.0000
Paper Disbursement Recon Proc-Partial	20-0120	Item	\$0.0600
IVR and Echeck Programming and Processing	26-0610	Pass Through	
On-Line Maintenance (Includes Prior and Current Day)	40-0001	Monthly	\$180.0000
On-Line Per Account (Includes Prior and Current Day)	40-0221	Account	\$16.0000 **increased from \$15.00

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<u>Description</u>	<u>TMA Code</u>	<u>Unit</u>	<u>Proposed Unit Price</u>	
ACH				
ACH Settlement Debit	01-0100	Item	\$0.8000	
ACH Settlement Credit	01-0101	Item	\$0.8000	
General ACH Maintenance	25-0000	Monthly	\$100.0000	
ACH Originated Debit	25-0100	Item	\$0.0300	**increased from \$.01
ACH Originated Credit	25-0101	Item	\$0.0300	**increased from \$.01
ACH Network Fee Debit	25-0140	Item	\$0.0000	
ACH Network Fee Credit	25-0140	Item	\$0.0000	
ACH Account Block	25-0150	Monthly	FREE	
ACH Account Filter	25-0150	Monthly	\$20.0000	*
ACH Originated-Addenda Records	25-0120	Item	\$0.0060	
ACH Debit Prenotes Originated	25-0130	Item	\$0.0060	
ACH Received-Debit	25-0200	Item	\$0.1000	
ACH Received-Credit	25-0201	Item	\$0.1000	
ACH Received-Addenda Records	25-0220	Item	\$0.0060	
ACH Received-Addenda Records	25-0220	Item	\$0.0060	
ACH Return Item	25-0302	Item	\$0.2500	
ACH Redeposit	25-0310	Item	\$0.2500	
ACH Return Item Notification-Automated	25-0400	Item	\$0.2500	
ACH Return Item Notification-Manual	25-0401	Item	\$2.0000	**increased from \$.75
ACH Return Transmission	25-0402	Item	\$0.2500	
ACH Deletion-Item	25-0620	Item	\$10.0000	
ACH Deletion-File	25-0621	File	\$100.0000	
ACH Deletion-Batch	25-0622	Batch	\$100.0000	
ACH Reject	25-0630	Item	\$7.0000	
ACH Reject	25-0631	Item	\$7.0000	
ACH Reject	25-0632	Item	\$7.0000	
ACH Notification of Change	25-1070	Item	\$0.1500	
Investments				
Automatic Investment Maintenance	45-0020	Monthly	\$90.0000	
Automatic Investment Debit Posting	45-0300	Item	\$0.1500	
Automatic Investment Credit Posting	45-0301	Item	\$0.1500	
Investment Statements	45-0415		\$0.0000	
Additional Services Noted in Proposal				
Misc Rejects	15-0300	Item	\$0.3000	
Daily Listing of Activity Statement	01-0310	Daily	\$7.0000	
Additional Statements	01-0310	Daily	\$7.0000	
Correspondent FRB Maintenance	01-9999	Monthly	\$0.0000	
Debit Authorization Module	40-000Z	Monthly	\$23.0000	
Debit Authorization Exception	25-0150	Item	\$1.0000	
Debit Authorization Return	25-0150	Item	\$1.7500	
ACH Module	40-000Z	Monthly	\$14.0000	
IRD Correspondent Item	10-0213	Item	\$0.1300	
IRD Fed Sends Item	10-0213	Item	\$0.1300	
Email Alert Instructions	40-000Z	Item	\$0.1500	
Email Alert Message	40-000Z	Item	\$0.3000	
Manual Stop Payment	15-0421	Item	\$25.0000	
ACH Reversal Item	25-0640	Item	\$10.0000	
ACH Reversal Batch	25-0641	Batch	\$100.0000	
ACH Reversal File	25-0642	Batch	\$100.0000	
EDI In Network Translation Receipts	30-0299	Item	\$0.0200	
Safekeeping Debit	01-0100	Item	\$0.1500	
Safekeeping Credit	01-0101	Item	\$0.1500	
ZBA Account Maintenance	01-0021	Monthly	\$3.0000	
Positive Pay Exceptions-Phone/Fax	15-0129	Item	\$15.0000	
ImageSource License Fee (one time)	15-1399		\$300.0000	
Photo Advice Priority via Clients Services	01-0212	Item	\$15.0000	
Disbursement Module	40-000Z	Monthly	\$20.0000	
ACH Return Fax	25-0400	Item	\$2.0000	**increased from \$.75
Data Transmission File	30-0100	File	\$7.5000	
Data Transmission Monthly Maint.	30-0300	Monthly	\$30.0000	
ANSI 820 payment	30-0210	Item	\$0.0850	
EDI Data Transfer Receipt	30-0299	Kilocharter	\$0.0300	
EDI Implementation Fee	30-0300	Hourly	\$130.0000	
EPA/ACH Authorizations	25-1050	Item	\$0.0400	*
EPA Module		Monthly	\$23.0000	**

Footnotes:

1. Assessed when the average monthly collected is negative. The surcharge is the difference between the prime rate and the current month's ECR Rate.
2. Ledger overdrafts will be assessed the daily fee based on the greater of prime rate plus 100 basis points, or a \$100.00 minimum.
3. Northern Trust calculates and assesses FDIC expense in the same manner that we are assessed by the Federal Deposit Insurance Corporation. The Rate is .0225 per \$100 of deposits per quarter. This rate is subject to change and is not guaranteed.
4. All rates are quoted net of 20 bp Sweep Fees. Please refer to the Institutional Sweep Services Agreement and any applicable agreements or other attachments for more complete descriptions of applicable terms, conditions, investments and disclosures.
5. The above list of services is not all inclusive of all applicable services and our based on the current account activity. If the Treasurer

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requests additional services such as check printing, photocopies of statements, check, and/or other services, applicable fees will apply.			
6. The pricing above is guaranteed up to 12/31/2012. We reserve the right to review and adjust our pricing during each extension period or if volumes/services greatly vary from the above.			

*Effective 12/1/08 the EPA/ACH Authorizations fee, per item per account, would replace the ACH Account Filter per account monthly fee of \$20.00

**Changes effective January 1, 2012

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Treasurer of the State of Illinois:
Dan Rutherford

Chief Procurement Officer:
Tracy Rutter

Additional information, including copies of forms and disclosures, are on file at the Illinois State Treasurer's Office, 400 West Monroe Street, Suite 401, Springfield, IL 62704.

